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Laurence A. Greenberg

December 12, 2007
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No. : 10/659,694 Conf. No.: 7523
Applicant : Bob Glickman
Filed : September 10, 2003
Art Unit : 3609
Examiner : Chia-Yi Liu
Title : Novel Securities Instrument Based on Dividend Ratios
and Option Chains
Docket No. : F-8178
Customer No. : 24131

A M E N D M E N T

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to the Office Action dated August 15, 2007 kindly amend the above-identified application as follows:

- **Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.
- **Remarks/Arguments** begin on page 8 of this paper.
- An **Appendix** including six (6) documents submitted as evidence and as informational material is attached following page 23 of this paper.